

Ph.D. Thesis



# Alternative Paradigms of Hardware Security for Addressing Threats of IP Piracy and Trojan during High Level Synthesis

Presented by:


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Sr. No.	Proposed Solutions of Research Problems	Journal Publication	Conference publication
1.	<b>Robust security of hardware accelerators using protein molecular biometric signature and facial biometric encryption key</b>	IEEE Transactions on Very Large Scale Integration Systems (TVLSI)	Proceedings of 8th IEEE Asian Hardware Oriented Security and Trust Symposium (AsianHOST), China
2.	Securing Reusable IP Cores using Voice Biometric based Watermark	IEEE Transactions on Dependable and Secure Computing (TDSC)	--
3.	Exploring handwritten signature image features for hardware security	IEEE Transactions on Dependable and Secure Computing (TDSC)	--
4.	Bio-mimicking DNA fingerprint profiling for HLS watermarking to counter hardware IP piracy	Nature Scientific Reports	--
5.	<b>Secure hardware IP of GLRT cascade using color interval graph based embedded fingerprint for ECG detector</b>	Nature Scientific Reports	Pending
6.	M-HLS: Malevolent High-Level Synthesis for Watermarked Hardware IPs	IEEE Embedded Systems Letters (ESL)	--
7.	<b>Watermarking Hardware IPs Using Design Parameter Driven Encrypted Dispersion Matrix With Eigen Decomposition Based Security Framework</b>	IEEE Access	Pending
8.	A Survey of High Level Synthesis based Hardware Security Approaches for Reusable IP Cores	IEEE Circuits and Systems Magazine (CASM)	--
9.	A Survey of High Level Synthesis based Hardware (IP) Watermarking Approaches	IEEE Design & Test (DAT)	--
10.	Palmprint Biometric vs Encrypted Hash based Digital Signature for Securing DSP Cores Used in CE systems	IEEE Consumer Electronics (CEM)	--
11.	PSO based exploration of multi-phase encryption based secured image processing filter hardware IP core datapath during high level synthesis	Elsevier Journal on Expert Systems with Applications	Proceedings of 9th IEEE International Symposium on Smart Electronic Systems (IEEE – iSES), India
12.	Exploration of optimal functional Trojan-resistant hardware intellectual property (IP) core designs during high level synthesis	Elsevier Journal on Microprocessors and Microsystems	Pending
13.	<b>Exploration of optimal crypto-chain signature embedded secure JPEG-CODEC hardware IP during high level synthesis</b>	Elsevier Journal on Microprocessors and Microsystems	Proceedings of 35th IEEE International Conference on Microelectronics (ICM), Abu Dhabi
14.	Quadruple phase watermarking during high level synthesis for securing reusable hardware intellectual property cores	Elsevier Journal on Computers and Electrical Engineering	--
<b>Total</b>		<b>14 Journals</b>	<b>3 Conferences</b>

# Hardware intellectual property (IP) core (Application specific systems)



- The rapid evolution of technology and the increasing complexity of computational tasks have underscored the growing need for specialized computing, also known as application-specific computing.
- This approach focuses on designing computing systems tailored to perform specific tasks more efficiently than general-purpose systems. Some of the factors leading to the rise in the need for specialized computing are (a) *Performance Optimization*, (b) *Energy Efficiency*, (c) *Cost Efficiency*, (d) *Industry-Specific Applications*, (e) *Customization and Flexibility*, etc.
- Some crucial examples of application-specific computing that includes data and computation-intensive operations are (a) *image processing applications/filters*, (b) *machine learning/deep learning-based applications*, (c) *JPEG compression-decompression*, (d) *DCT*, (E) *FIR filter*, etc.
- These computation-intensive applications are designed as dedicated reusable hardware *Intellectual Property (IP) core* of *Hardware Accelerator* using the High Level Synthesis (HLS) process [1].

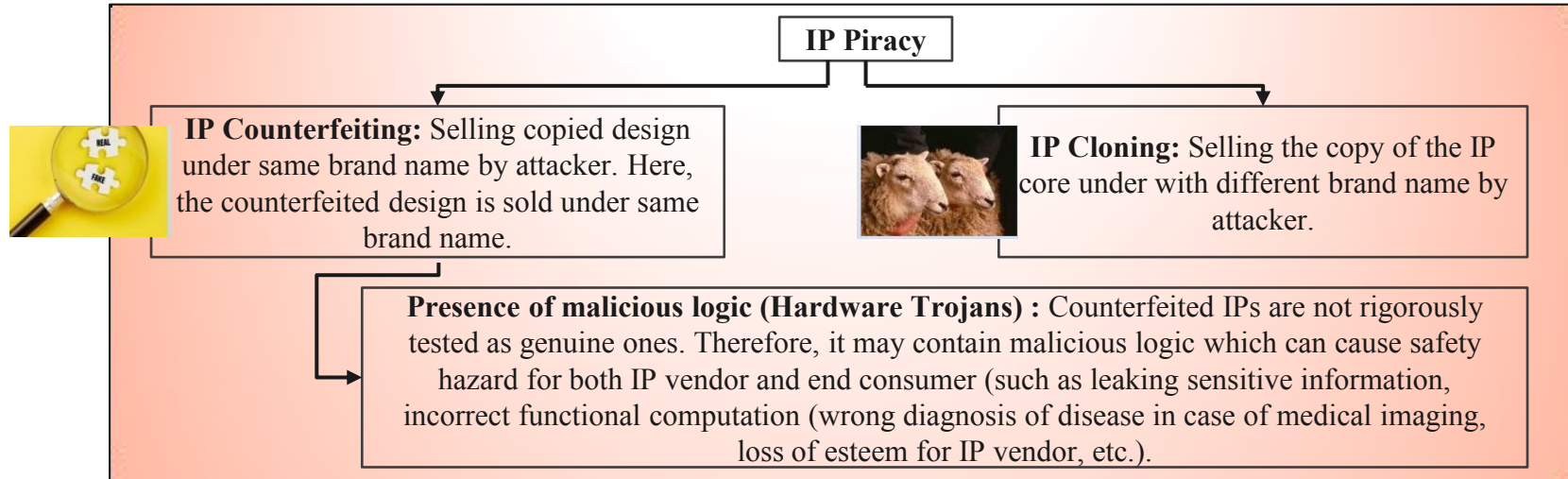
## Hardware design process

- Due to the globalization of the design supply chain, the design process of these application-specific hardware systems involves various hardware threats [1], [2].



Figure 1: Hardware IC design process

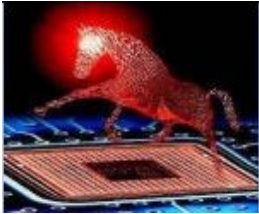
## Security Issues associated with hardware IP Cores [1], [2]



**Fraudulent claim of IP ownership:** An adversary tries to fraudulently claim the ownership of the IP.

Therefore, it is essential to secure these hardware IP cores from these hardware threats.

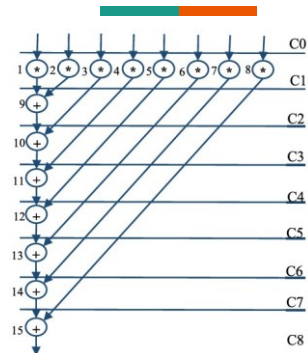
## Security Issues associated with hardware IP Cores [1], [19]



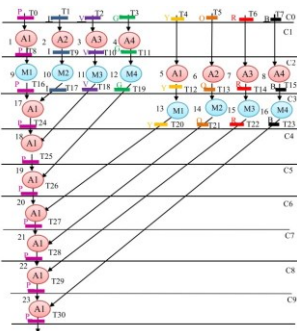
**Hardware Trojan Attack-** Malicious circuitry that damages the function and trustworthiness. Hardware Trojans are covertly inserted at safe places such that it goes undetected during testing process and they activate only under specific triggering condition.

Functional hardware Trojans are present in 3<sup>rd</sup> party IP module library (such as adder, and multiplier library), which on triggering disrupt the original functionality of the hardware system.

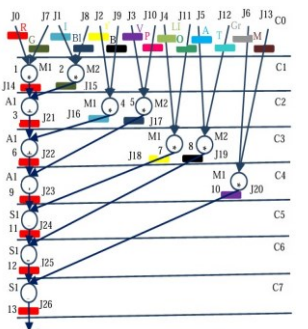
# Benchmarks



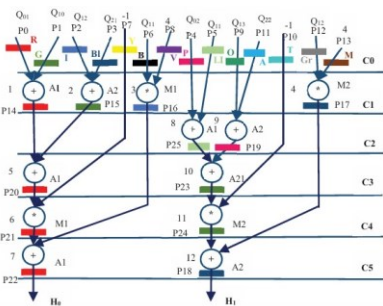
Data flow graph of 8-point DCT



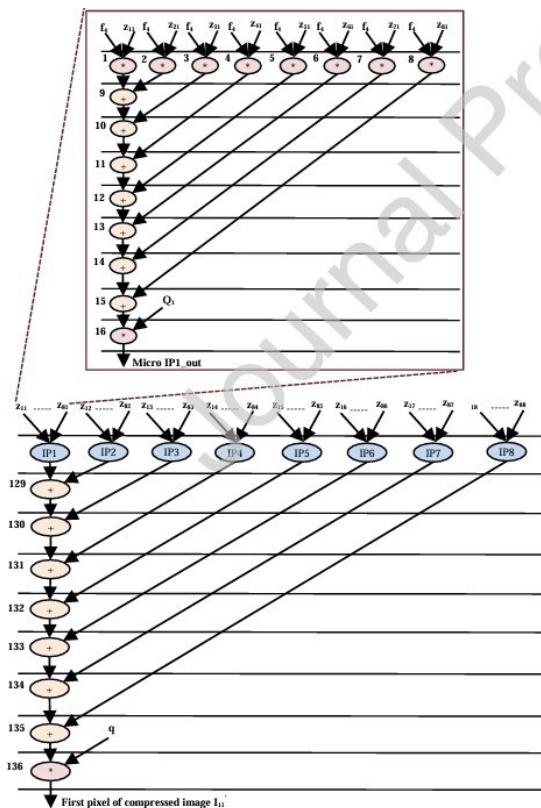
Data flow graph of FIR filter



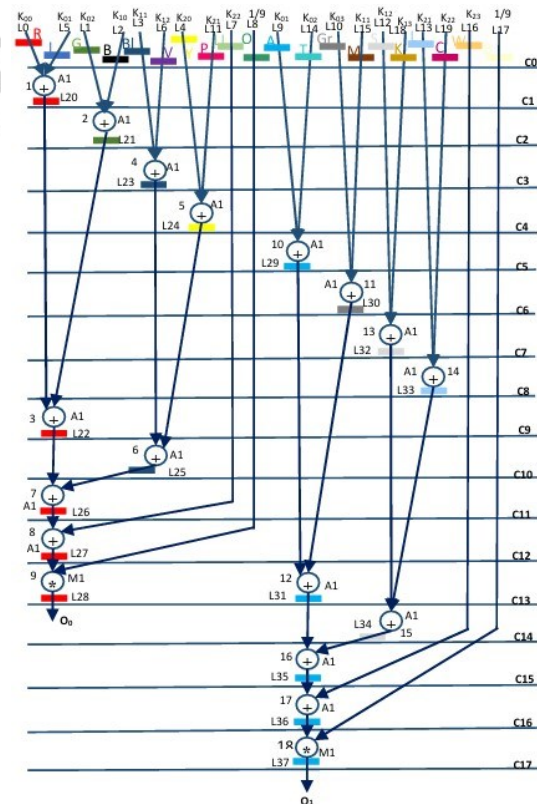
Data flow graph of IIR



Data flow graph of Laplacian filter



Data flow graph of JPEG-CODEC



Data flow graph of blur filter

## Related work on hardware watermarking

Sr. No.	Existing Work	Technique Used	Remarks
1.	a). F. Koushanfar, I. Hong, and M. Potkonjak [3] (2005) b). A. Sengupta and S. Bhadauria [4] (2016)	IP seller's signature encoding-based hardware watermarking.	Weak watermarking mechanism due to involvement of only two and four variable signature encoding process. Not robust and future proof. The watermark inserted by watermarking technique becomes vulnerable if relevant information (like signature size, digit encoding, and combination) gets leaked.
2.	a) A. Sengupta and M. Rathor, [5] (2019) b). E. Castillo, et. al., [6] (2008) c). A. Sengupta, et. al., [7] (2019)	Steganography, encryption and digital signature-based hardware watermarking.	Provides weaker security due to the generation of limited security constraints. Additionally, they become weak in case of a compromised stego-threshold and RSA key value.
3.	a). A. Sengupta and M. Rathor, [8] (2020) b). A. Sengupta, et. al., [9] (2021)	IP seller's biometrics (such as facial and palmprint biometrics) based hardware watermarking.	Although [8] and [9] integrate the natural identity of IP sellers with the hardware design, they provide weaker security due to the generation of limited watermarking (security) constraints.

## Related work Trojan detection approaches

Sr. No.	Existing Work	Technique Used	Remarks
1.	Martin <i>et. al.</i> , [16] (2018) and Gunti <i>et. al.</i> , [17] (2017)	[16] uses approximate circuits to prevent hardware Trojan insertion and [17] demonstrates neutralizing hardware Trojans in SCADA systems by employing a TMR scheme on selected paths.	Neither [16] nor [17] addresses functional hardware Trojan isolation in digital image filter IP cores, particularly within the context of 3PIP cores. Moreover, they do not integrate particle swarm optimization based design space exploration to optimize the architecture for Trojan resistance.
2.	Li <i>et. al.</i> , [18] (2021)	Efforts have been made to create adversarial hardware with functional camouflage, exploring ways to covertly insert Trojans at locations with low centrality values.	[18] does not focus on designing low-cost optimized Trojan-resistant digital image filter circuits.
3.	Sengupta <i>et. al.</i> , [19] (2017)	Dual Modular Redundancy (DMR) design methodology for providing Trojan detection.	[19] falls short in providing Trojan isolation and resistance.

## Secure hardware IP of GLRT cascade using color interval graph based embedded fingerprint for ECG detector

- The proposed approach presents a novel secure hardware IP of generalized likelihood ratio test (GLRT) cascade using color interval graph (CIG) based embedded fingerprint, for electrocardiogram (ECG) detector, for the first time in literature [10].
- The GLRT unit is the primary component of the ECG detector that executes computation-intensive functions to estimate heart rate using (*Q wave*, *R wave* and *S wave*) *QRS wave complex* from incoming filter signals.
- The proposed approach uses the IP seller's fingerprint biometric sample to generate and embed the watermark signature (watermarking constraints) into the final hardware IP design.
- The embedded IP seller's watermark helps in the demarcation and isolation of pirated IPs from the authentic ones by system-on-chip (SoC) integrator before integration into the final hardware system.

# Motivation

- From the perspective of the end user (patient), the safe and reliable functioning of the GLRT unit in the ECG detector is critical as it is responsible for the generation of important ECG parametric data such as *Heart Rate (HR)*, *PR Interval (PRI)*, *QRS Interval (QRSI)*, *QT Interval (QTI)*, *QTC Interval (QTCI)*.
- Therefore, it is essential to design the GLRT unit of the ECG detector as a reusable hardware IP core because of its wide usability and computation-intensive nature.
- A pirated (*i.e.*, counterfeited) GLRT hardware IP core is unreliable and may contain malicious logic that could result into inaccurate detection of vital ECG parametric data, erratic behavior or functionality of the ECG detector, mistimed pulse from ECG detector for cardiac pacemaker devices. Therefore, it is necessary to secure the hardware IP so that it can be verified before integration into the final system.

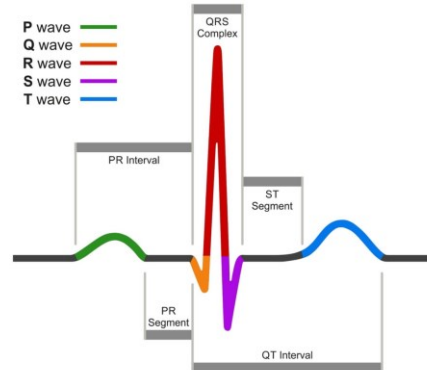


Figure 2: Sample ECG waveform

## Detailed of the proposed approach

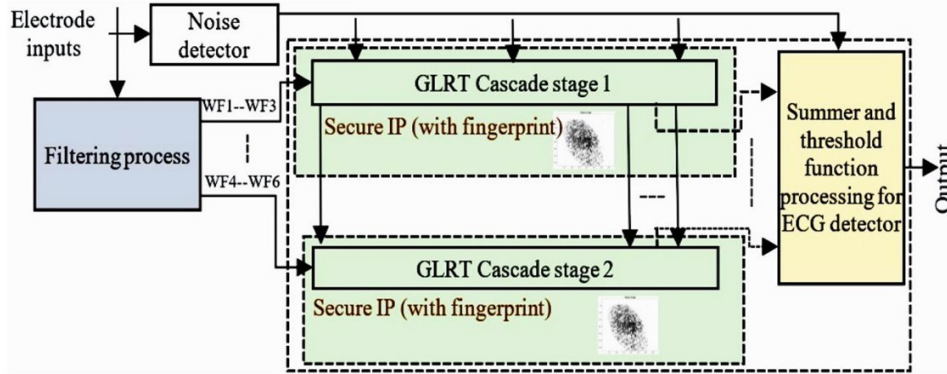


Figure 3: Proposed secure hardware IP of GLRT cascade for ECG detector

- At first, GLRT's mathematical/transfer function is taken as input, which is converted into its respective control data flow graph (CDFG).
- Next, the obtained CDFG is scheduled using input resource constraints (*i.e.*, number of adders and multipliers) to generate its corresponding scheduled data flow graph (SDFG).
- Transfer function:  $Z(a) = s^T(n)H(H^TH)^{-1}H^Ts(n)$ , where  $s(n)$  is the input to the filtering unit and  $H$  is the linear combination matrix of the representative function. Here,  $s^T(n)$  is a 6-by-1 matrix,  $H$  is a 1-by-6 matrix,  $s(n)$  is a 1-by-6 matrix and  $(H^TH)$  is a 6-by-6 matrix.

## Details of fingerprint watermark signature generation process

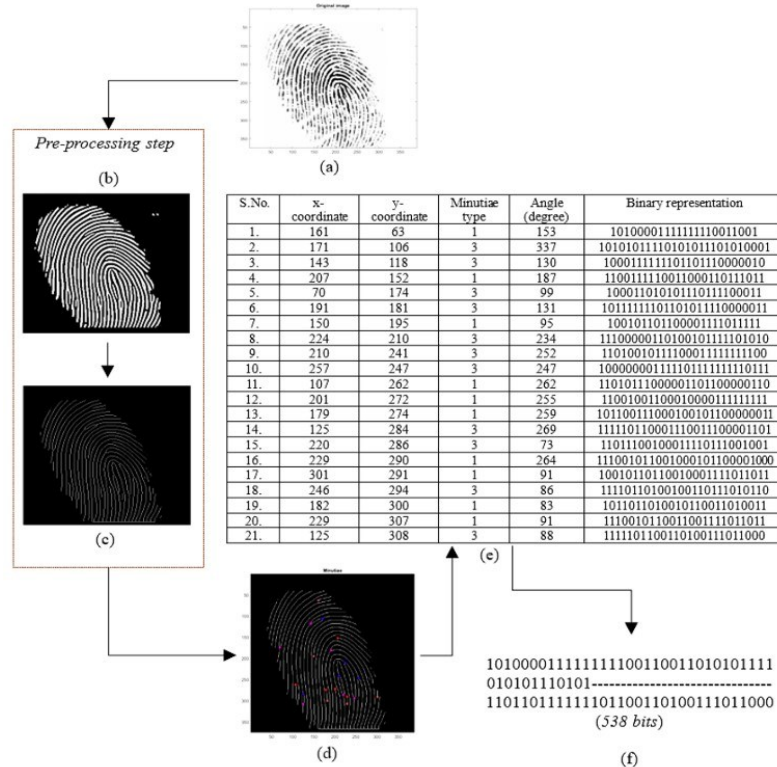


Figure 4: Proposed fingerprint digital template generation process extracted from captured IP vendor's fingerprint, (a) input IP sellers fingerprint image, (b) binarized fingerprint image, (c) thinned fingerprint image, (d) minutiae points generation on fingerprint image, (e) details of generated minutiae points parameters, (f) generated fingerprint biometric based digital template. The biometric captured is of a real IP vendor entity that is used for further processing of template generation

# Final watermark constraints embedded SDFG of GRLT

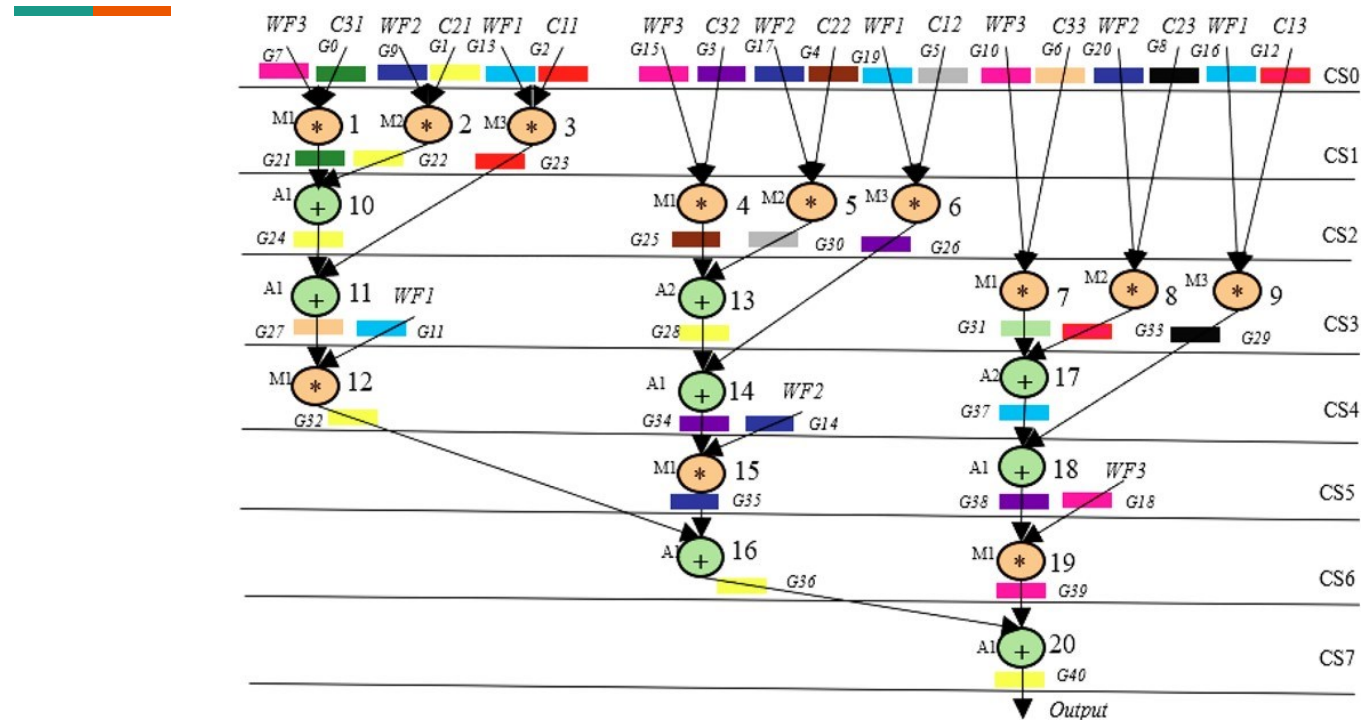


Figure 5: SDFG of GLRT cascade macro IP scheduled using three multipliers and two adders post embedding fingerprint

# Final watermark constraints embedded RTL

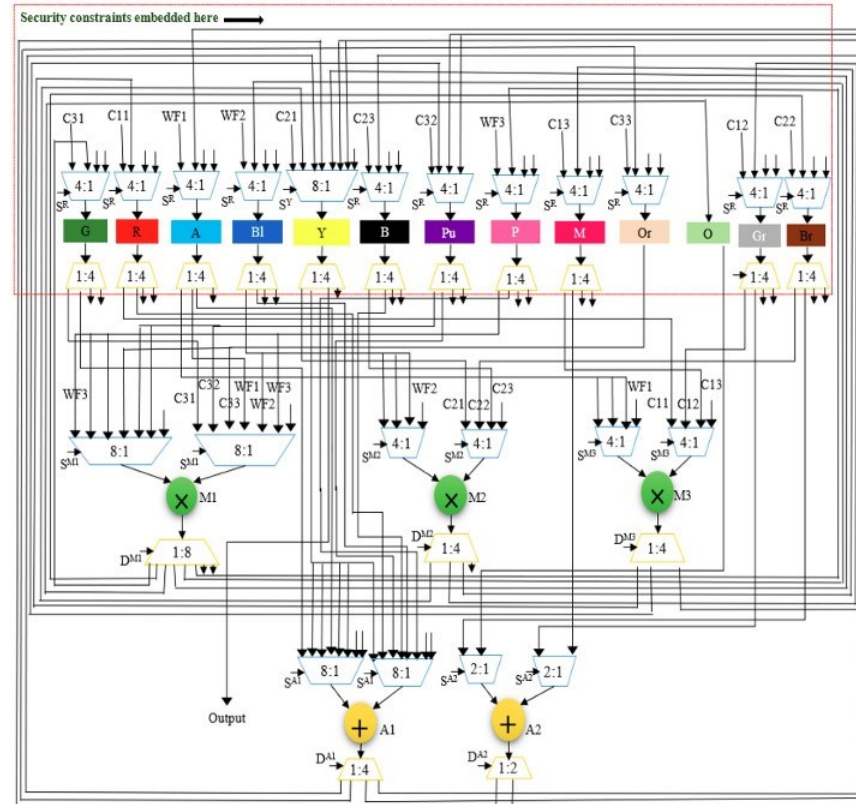


Figure 6: Secure RTL design of GLRT cascade macro IP core with CIG based embedded fingerprint

## Evaluation parameters

- **Evaluation of Robustness Using Probability of Coincidence ( $P_c/C_i$ ):**

$$P_c = \left(1 - \frac{1}{c}\right)^f$$

‘ $c$ ’ denotes the number of registers used in the RAT, and ‘ $f$ ’ denotes the number of hardware constraints added.

- **Evaluation of tamper tolerance ( $TT/T_i$ ):**

$$TT = (w)^f$$

‘ $w$ ’ is the number of types of digits in the signature, and ‘ $f$ ’ is the signature size (or the number of corresponding hardware security constraints)

- **Design cost:**

$$Design\ cost = q1 * \left(\frac{Area(A)}{A_{max}}\right) + q2 * \left(\frac{Latency\ (L)}{L_{max}}\right)$$

where  $q1=0.5$  and  $q2=0.5$  are designer-defined weighing factors used to provide equal weightage to design *area* ( $A$ ) and execution time (*Latency* ( $L$ )) during design cost function evaluation. Further,  $A_{max}$  and  $L_{max}$  represents maximum design area (determined with available maximum functional resources) and time (delay) (determined with available minimum functional resources)

# Results

Table 1: Comparison of tamper tolerance (TT) between the proposed fingerprint embedded secure GLRT cascade IP with facial biometric [8] embedded IP design and digital signature embedded IP design [7]

Proposed secure GLRT IP with fingerprint		Design with facial constraints [25]		Design with digital signature [16]	
Security constraints	$T_i$	Security constraints	$T_i$	Security constraints	$T_i$
250	1.80E+75	16	6.55E+04	16	6.55E+04
275	6.07E+82	32	4.29E+09	32	4.29E+09
300	2.03E+90	64	1.84E+19	64	1.84E+19
346	1.43E+104	81	2.41E+24	128	3.40E+38

Table 3: Comparison of probability of coincidence between the proposed fingerprint embedded secure GLRT cascade IP with facial biometric [8] embedded IP design and digital signature embedded IP design [7]

Proposed secure GLRT IP with fingerprint		Design with facial constraints [25]		Design with digital signature [16]	
Security constraints	$C_i$	Security constraints	$C_i$	Security constraints	$C_i$
250	3.57E-10	16	2.48E-01	16	2.48E-01
275	4.05E-11	32	6.17E-02	32	7.71E-02
300	4.60E-12	64	3.81E-03	64	3.81E-03
346	8.41E-14	81	8.69E-04	128	1.45E-05

Table 2: Comparison of tamper tolerance (TT) between the proposed fingerprint embedded secure GLRT cascade IP with encrypted signature embedded IP design [6] and hardware watermarking embedded IP design [3]

Proposed secure GLRT IP with fingerprint		Design with encrypted signature [17]		Design with watermark [12]	
Security constraints	$T_i$	Security constraints	$T_i$	Security constraints	$T_i$
250	1.80E+75	32	4.29E+09	32	4.29E+09
275	6.07E+82	64	1.84E+19	64	1.84E+19
300	2.03E+90	128	3.40E+38	128	3.40E+38
346	1.43E+104	160	1.46E+48	240	1.76E+72

Table 4: Comparison of probability of coincidence between the proposed fingerprint embedded secure GLRT cascade IP with facial biometric [8] embedded IP design and digital signature embedded IP design [7]

Proposed secure GLRT IP with fingerprint		Design with encrypted signature [17]		Design with watermark [12]	
Security constraints	$C_i$	Security constraints	$C_i$	Security constraints	$C_i$
250	3.57E-10	32	6.17E-02	32	6.17E-02
275	4.05E-11	64	3.81E-03	64	3.81E-03
300	4.60E-12	128	1.45E-05	128	1.45E-05
346	8.41E-14	160	8.99E-07	240	8.52E-10

## Results (Design cost)

Table 5: Design latency, area, and resource configuration of proposed secure GLRT IP before and after embedding fingerprint signature

Application	Resource configuration	Unsecured design (before fingerprint embedding)		Proposed fingerprint embedded secure design	
GLRT cascade hardware IP core	2(+), 3(*)	Design area (um <sup>2</sup> )	Design latency (ps)	Design area (um <sup>2</sup> )	Design latency (ps)
		273.67	1656.07	273.67	1656.07

Table 6: Design cost, leakage power, register count and resource configuration of proposed secure GLRT hardware IP before and after embedding fingerprint signature

Application	Resource configuration	Unsecured design (before fingerprint embedding)		Proposed fingerprint embedded secure design	
GLRT cascade hardware IP core	2(+), 3(*) and 13 registers	Design cost	Leakage power	Design cost	Leakage power
		0.43	8.57 $\mu w$	0.43	8.57 $\mu w$

## **Robust security of hardware accelerators using protein molecular biometric signature and facial biometric encryption key**

- This proposed approach presents a novel molecular biometric-based hardware security approach based on IP seller's protein molecule sequence, for the first time to secure hardware IP cores [11].
- Here, an IP seller/vendor-selected protein sequence comprising 20 unique amino acid combinations is used for molecular signature generation.
- The generated signature is then encrypted through AES using an encryption key generated with the facial biometric of an authentic IP vendor. Thus, the proposed approach incorporates two classes of biometrics of IP seller to ensure highly robust and unique authentication.
- The encrypted molecular signature is then converted into watermarking constraints using the IP seller's mapping rule and is further embedded in the hardware design during the register allocation phase of the HLS process.
- The embedded IP seller's watermark helps in the demarcation and isolation of pirated IPs from the authentic ones and protects IP seller from false IP ownership assertions.

## Detailed of the proposed approach

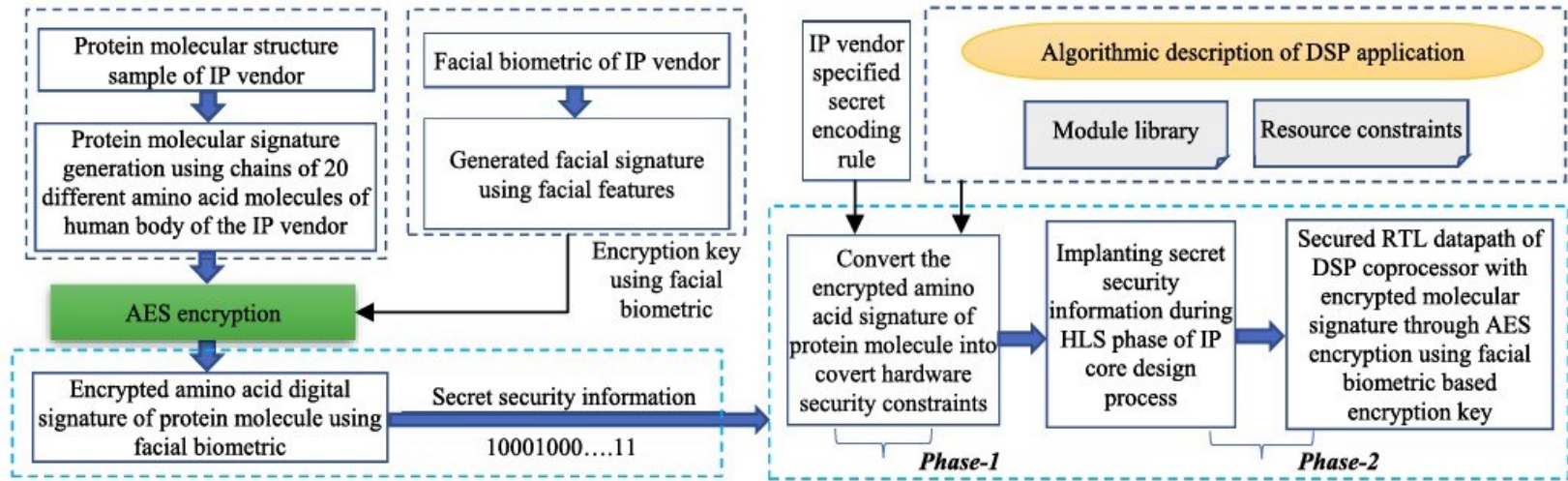


Figure 7: Overview of the proposed methodology

## Details of protein molecular signature generation process

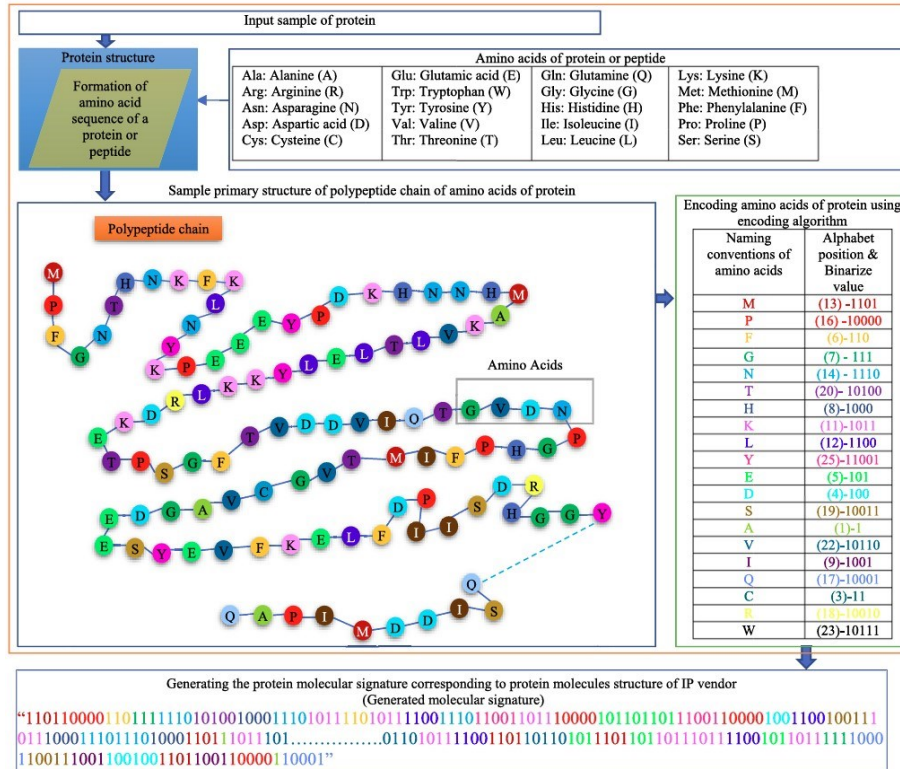


Figure 8: Generating the protein molecular signature corresponding to the amino acid sequence of sample protein

## Details of IP seller's facial biometric template generation process

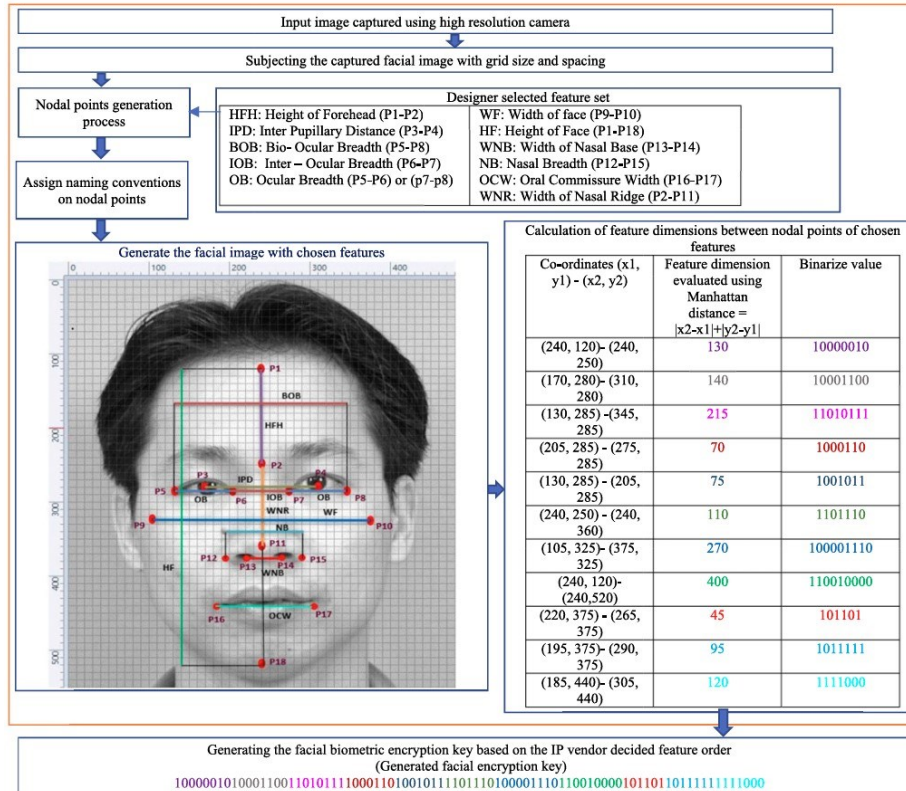


Figure 9: Demonstration of facial biometric key generation used for encrypting the protein molecular signature

## Details of IP seller's facial biometric template generation process

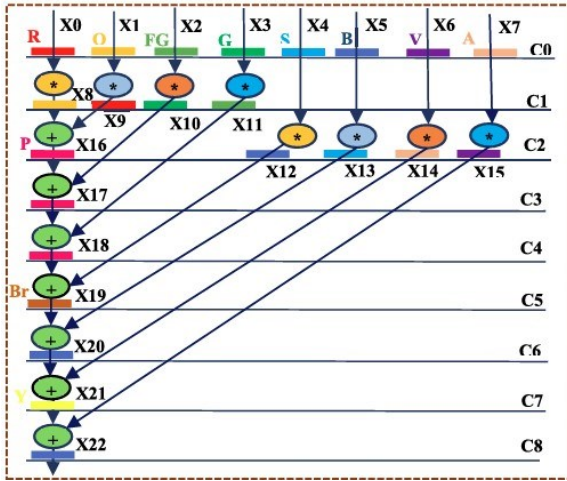


Figure 10: Scheduled DFG of DCT-8 with 1(+) and 4(\*) post embedding secret constraints

Table 7: Register allocation table (RAT) corresponding to 8-point DCT application after embedding watermarking constraints

CS	R1	R2	R3	R4	R5	R6	R7	R8	R9	R10	R11
CS0	X0	X1	X2	X3	X4	X5	X6	X7	--	--	--
CS1	X9	X8	X11	X10	X4	X5	X6	X7	--	--	--
CS2	--	--	X11	X10	X13	X12	X15	X14	X16	--	--
CS3	--	--	X11	--	X13	X12	X15	X14	X17	--	--
CS4	--	--	--	--	X13	X12	X15	X14	X18	--	--
CS5	--	--	--	--	X13	--	X15	X14	--	X19	--
CS6	--	--	--	--	--	X20	X15	X14	--	--	--
CS7	--	--	--	--	--	--	X15	--	--	--	X21
CS8	--	--	--	--	--	X22	--	--	--	--	--

## Results

Table 8: comparison of security in terms of Pc for JPEG-CODEC IP core between proposed approach and IP fingerprinting [14]

Fingerprint image	# of embedded security constraints of fingerprint approach	Pc of fingerprint approach [14]	Proposed amino acid chain of protein sequence	# of embedded security constraints of proposed approach	Pc of proposed approach	% Reduction of Pc obtained using proposed approach
Original Image:101_1	350	8.0E-3	150	599	2.5E-4	96.8%
Original Image:101_2	418	3.1E-3	200	799	1.6E-5	99.4%
Original Image:101_8	526	7.0E-4	250	990	1.1E-6	99.8%
Original Image:102_3	538	5.9E-4	300	1184	8.0E-8	99.9%
Original Image:103_8	555	4.7E-4	350	1382	5.2E-9	99.9989%

Table 9: Comparison of security in terms of TT for JPEG-CODEC IP core between proposed approach and IP fingerprinting [14]

Fingerprint image	# of embedded security constraints of fingerprint approach	TT of fingerprint approach [14]	Proposed amino acid chain of protein sequence	# of embedded security constraints of proposed approach	TT of proposed approach	% Increment of TT obtained using proposed approach
Original Image:101_1	350	2.29E+105	150	599	2.07E+180	9.0393E+76%
Original Image:101_2	418	6.76E+125	200	799	3.33E+240	4.92604E+116%
Original Image:101_8	526	2.19E+158	250	990	1.04E+298	4.74886E+141%
Original Image:102_3	538	8.99E+161	300	1184	~1.0E+358	~+198%
Original Image:103_8	555	1.17E+167	350	1382	~1.0E+417	~+253%

## Results

Table 10: Comparison of Pc w.r.t. related work [15]

Bench- marks	Proposed		Related work [15]	
	Max. constraints	Pc	Max. constraints	Pc
FIR	225	0.9E-13	128	3.7E-8
ARF	306	1.79E-18	128	3.7E-8
DWT	110	2.1E-11	92	1.2E-9
JPEG	1408	3.6E-9	128	1.7E-1
MESA	1408	1.3E-13	128	3.7E-8

Table 11: Comparison of tamper tolerance (TT) w.r.t. related work [15]

Bench- marks	Proposed		Related work [15]	
	Max. constraints	TT	Max. constraints	TT
FIR	225	5.39E+67	128	3.40E+38
ARF	306	1.30E+92	128	3.40E+38
DWT	110	1.29E+33	92	4.95E+27
JPEG	1408	1.0E+421	128	3.40E+38
MESA	1408	1.0E+421	128	3.40E+38

Table 12: Design cost of embedding encrypted protein molecular signature

Benchmarks	Design cost of encrypted protein molecular signature implanted design corresponding to Sequence-1 (1408 digits)	Design cost of encrypted protein molecular signature implanted design corresponding to Sequence-2 (128 digits)
8-point DCT	0.473	0.473
FIR	0.569	0.567
ARF	0.476	0.473
DWT	0.615	0.617
JPEG	0.214	0.214
MESA	0.280	0.280

# Watermarking Hardware IPs Using Design Parameter Driven Encrypted Dispersion Matrix With Eigen Decomposition Based Security Framework



- This paper presents a mathematical watermarking methodology using a design parameter-driven encrypted dispersion matrix (*characteristics of the IP vendor selected design space parameters*) with an eigen decomposition-based security framework (*design space's characteristics in terms of IP vendor chosen resource configuration*) for protecting hardware IP cores [12].
- An encrypted mathematical watermark is generated using dispersion matrix, eigen decomposition, and AES encryption.
- The encrypted watermark is then converted into watermarking constraints using the IP vendor's mapping rule and is further embedded in the hardware design during the register allocation phase of the HLS process.
- The embedded IP seller's watermark provides a detective countermeasure against potential IP piracy and false IP ownership claim by an adversary in SoC and fabrication house.

## Detailed of the proposed approach

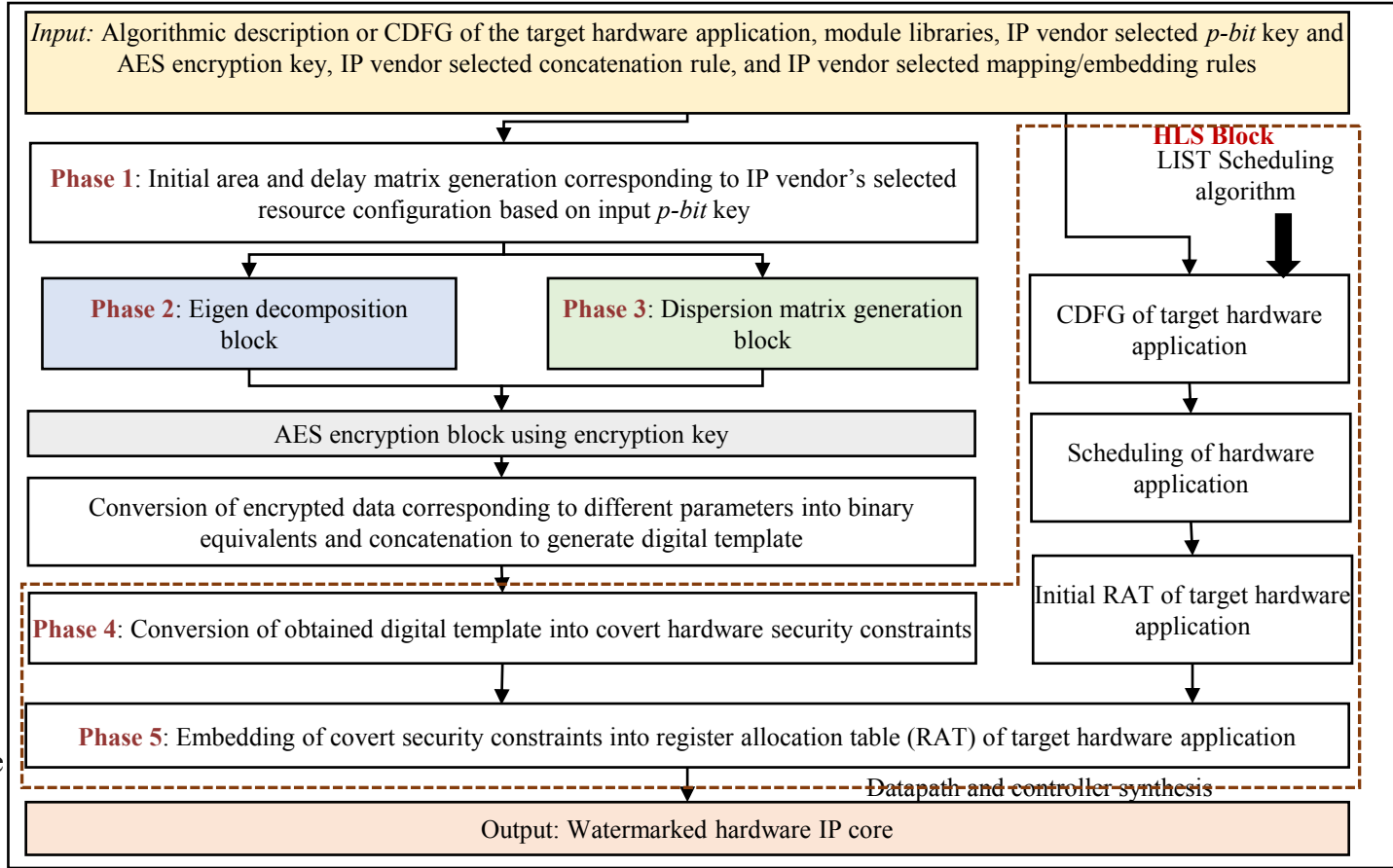


Figure 11:  
Overview of the  
proposed  
methodology

## Generation of initial data

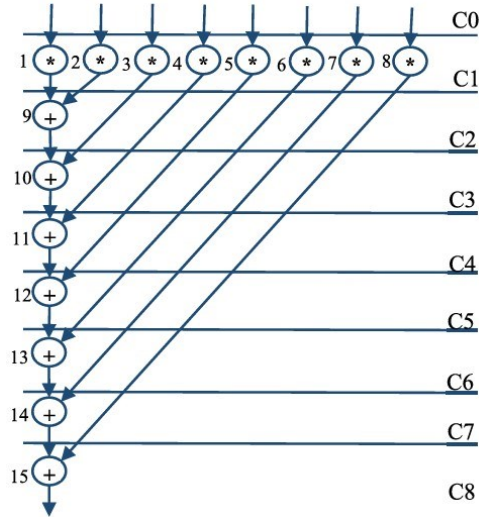


Figure 12: Control data flow graph of 8-point DCT

$p$ -bit key	$R_C$ (IP vendor chosen - key controlled)	$A_d$ (IP vendor computed)	$L_d$ (IP vendor computed)
011	[1, 4]	327 $\mu\text{m}^2$	927 ps
000	[1, 1]	101 $\mu\text{m}^2$	2186 ps
111	[1, 8]	629 $\mu\text{m}^2$	729 ps
100	[1, 5]	403 $\mu\text{m}^2$	927 ps

Step 1. Computation of area ( $A_d$ ) and latency ( $L_d$ ) corresponding to IP vendor chosen resource configuration:

The area and latency corresponding to selected resource configurations are shown in Table 1.

Step 2. (a). Mean computation of design parameter ' $A_d$ ':

$$\overline{A_d} = \sum_{i=1}^n A_{di}$$

$$\overline{A_d} = \frac{(327 + 101 + 629 + 403)}{4} = 365 \quad (1)$$

Step 2. (b). Mean computation of design parameter ' $L_d$ ':

$$\overline{L_d} = \sum_{i=1}^n L_{di}$$

$$\overline{L_d} = \frac{(927 + 2186 + 729 + 927)}{4} = 1192.25 \approx 1192 \quad (2)$$

## Estimation of dispersion matrix

Step 3. (a). Subtract the mean ( $\bar{A}_d$ ) from all area parameter values:

⇒

$$(A_{d1} - \bar{A}_d), (A_{d2} - \bar{A}_d), \\ (A_{d3} - \bar{A}_d), \dots, (A_{dn} - \bar{A}_d) \quad (3)$$

$$\Rightarrow (327-365), (101-365), (629-365), (403-365)$$

$$\Rightarrow (-38), (-264), (264), (38)$$

Step 3. (b). Subtract the mean ( $\bar{L}_d$ ) from all latency parameter values:

⇒

$$(L_{d1} - \bar{L}_d), (L_{d2} - \bar{L}_d), \\ (L_{d3} - \bar{L}_d), \dots, (L_{dn} - \bar{L}_d) \quad (4)$$

$$\Rightarrow (927-1192), (2186-1192), (729-1192), (927-1192)$$

$$\Rightarrow (-265), (994), (-463), (-265)$$

Step 4. (a). Compute the sum of the square of the differences corresponding to the design area:

$$S_A = \sum_{i=1}^n (A_{di} - \bar{A}_d)^2 \quad (5)$$

$$\Rightarrow S_A = (-38)^2 + (-264)^2 + (264)^2 + (38)^2$$

$$\Rightarrow (1444 + 69696 + 69696 + 1444) = 142280$$

Step 4. (b). Compute the sum of the square of the differences corresponding to design latency:

$$S_L = \sum_{i=1}^n (L_{di} - \bar{L}_d)^2 \quad (6)$$

$$\Rightarrow S_L = (-265)^2 + (994)^2 + (-463)^2 + (-265)^2$$

$$\Rightarrow (70225 + 988036 + 214369 + 70225) = 1342855$$

Step 5. Estimate  $\text{var}(A_d)$ ,  $\text{var}(L_d)$ , and  $\text{cov}(A_d, L_d)$ :

$$\text{Var}(A_d) = \frac{\sum_{i=1}^n (A_{di} - \bar{A}_d)^2}{n-1} \quad (7)$$

$$\text{Var}(A_d) = \left( \frac{142280}{3} \right) = 47426.66 \approx 48000$$

$$\text{Var}(L_d) = \frac{\sum_{i=1}^n (L_{di} - \bar{L}_d)^2}{n-1} \quad (8)$$

$$\text{Var}(L_d) = \left( \frac{1342855}{3} \right) = 447618.33 \approx 448000$$

$$\text{Cov}(A_d, L_d) = \sum_{i=1}^n \frac{(A_{di} - \bar{A}_d) \times (L_{di} - \bar{L}_d)}{n-1} \quad (9)$$

Now, perform the multiplication of the corresponding pair's values obtained in steps 3. (a) and 3. (b).

$$\Rightarrow \{(-38) \times (-265)\}, \{(-264) \times (994)\}, \{(264) \times (-463)\}, \\ \{(38) \times (-265)\}$$

$$\Rightarrow \{10070\}, \{-262416\}, \{-122232\}, \{-10070\}$$

Next, perform a summation of the above-obtained values to estimate  $\text{Cov}(A_d, L_d)$ .

$$\Rightarrow (10070 - 262416 - 122232 - 10070)$$

$$\Rightarrow (-384648)$$

$$\Rightarrow \text{Cov}(A_d, L_d) = \left( \frac{-384648}{4-1} \right) = -128216$$

(7) Finally, the generated dispersion matrix is:

Dispersion matrix (DM)

$$= \begin{bmatrix} \frac{\sum_{i=1}^n (A_{di} - \bar{A}_d)^2}{n-1} & \sum_{i=1}^n \frac{(A_{di} - \bar{A}_d) \times (L_{di} - \bar{L}_d)}{n-1} \\ \sum_{i=1}^n \frac{(A_{di} - \bar{A}_d) \times (L_{di} - \bar{L}_d)}{n-1} & \frac{\sum_{i=1}^n (L_{di} - \bar{L}_d)^2}{n-1} \end{bmatrix}$$

$$DM = \begin{bmatrix} \text{Var}(A_d) & \text{Cov}(A_d, L_d) \\ \text{Cov}(A_d, L_d) & \text{Var}(L_d) \end{bmatrix}$$

$$DM = \begin{bmatrix} 48000 & -128216 \\ -128216 & 448000 \end{bmatrix}$$

## Estimation of eigen roots

$$A = \begin{bmatrix} 1 & 4 \\ 1 & 1 \end{bmatrix}, \quad B = \begin{bmatrix} 1 & 8 \\ 1 & 5 \end{bmatrix}$$

$$\Rightarrow \det(\lambda I - A) = 0$$

$$\Rightarrow \det \left( \lambda \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \begin{bmatrix} 1 & 4 \\ 1 & 1 \end{bmatrix} \right) = 0$$

$$\Rightarrow \det \left( \begin{bmatrix} \lambda - 1 & -4 \\ -1 & \lambda - 1 \end{bmatrix} \right) = 0$$

$$\Rightarrow \lambda^2 - 2\lambda - 3 = 0$$

$$\Rightarrow \lambda_1 = 3 \text{ and } \lambda_2 = -1$$

Similarly,

$$\Rightarrow \det(\lambda I - B) = 0$$

$$\Rightarrow \det \left( \lambda \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \begin{bmatrix} 1 & 8 \\ 1 & 5 \end{bmatrix} \right) = 0$$

$$\Rightarrow \det \left( \begin{bmatrix} \lambda - 1 & -8 \\ -1 & \lambda - 5 \end{bmatrix} \right) = 0$$

$$\Rightarrow \lambda^2 - 6\lambda - 3 = 0$$

$$\lambda_3 = 6.46 \text{ and } \lambda_4 = -0.46$$

Table 13: Register allocation table (RAT) pre and post embedding generated signature

CS	Red(R)	Green (G)	Indigo (I)	Blue (BL)	Yellow (Y)	Black (B)	Violet (V)	Pink (P)	Lime (LI)	Olive (O)	Aqua (A)	Teal (T)	Grey (Gr)	Magenta (M)	Silver (S)	Khaki (K)
0	L0	L1	L2	L3	L4	L5	L6	L7	L8	L9	L10	L11	L12	L13	L14	L15
1	L16/L17	L17 /L16	L2	L3	L4	L5	L6	L7	-	-	-	-	-	-	-	-
2	L24	-	L18 /L19	L19 /L18	L4	L5	L6	L7	-	L24	-	-	-	-	-	-
3	L25	-	L19	L19	L20 /L21	L21 /L20	L6	L7	L25	-	-	-	-	-	-	-
4	L26	-	-	-	L20 /L21	L21 /L20	L22	L23	-	L26	-	-	-	-	-	-
5	L27	-	-	-	L21	L21	L22 /L23	L23 /L22	-	-	L27	-	-	-	-	-
6	L28	-	-	-	-	-	L22 /L23	L23 /L22	-	L28	-	-	-	-	-	-
7	L29	-	-	-	-	-	L23	L23	-	-	-	L29	-	-	-	-
8	L30	-	-	-	-	L30	-	-	-	-	-	-	-	-	-	-

## Results

Table 14: Comparison of the probability of coincidence ( $C_i$ ) between the proposed approach, [8], [6]

Benchmarks	Register count before embedding security constraints	Proposed approach		[8]		[6]	
		Embedded security constraints ( $c$ )	( $C_i$ )	Embedded security constraints ( $c$ )	( $C_i$ )	Embedded security constraints ( $c$ )	( $C_i$ )
8-point DCT	16	214	1.00E-06	81	5.36E-03	160	3.27E-05
FIR	16	343	2.43E-10	81	5.36E-03	160	3.27E-05
ARF	16	441	4.35E-13	81	5.36E-03	160	3.27E-05
DWT	10	164	3.13E-08	81	1.96E-04	160	4.77E-08
JPEG-CODEC	137	896	1.41E-03	81	5.52E-01	160	3.09E-01

Table 15: Comparison of the probability of coincidence ( $C_i$ ) between the proposed approach, [3], [14]

Benchmarks	Register count before embedding security constraints	Proposed approach		[3]		[14]	
		Embedded security constraints	( $C_i$ )	Embedded security constraints	( $C_i$ )	Embedded security constraints	( $C_i$ )
8-point DCT	16	214	1.00E-06	128	2.58E-04	199	2.64E-06
FIR	16	343	2.43E-10	128	2.58E-04	199	2.64E-06
ARF	16	441	4.35E-13	128	2.58E-04	199	2.64E-06
DWT	10	164	3.13E-08	128	1.39E-06	164	3.13E-08
JPEG-CODEC	137	896	1.41E-03	128	3.91E-01	199	2.32E-01

## Results

Table 16: Comparison of tamper tolerance (TT) between the proposed approach, [8], [6], [3], and

Benchmarks	Proposed approach	[8]	[6]	[3]	[14]
8-point DCT	2.63E+64	2.41E+24	1.46E+48	3.40E+38	8.03E+59
FIR	1.79E+103	2.41E+24	1.46E+48	3.40E+38	8.03E+59
ARF	5.67E+132	2.41E+24	1.46E+48	3.40E+38	8.03E+59
DWT	2.33E+49	2.41E+24	1.46E+48	3.40E+38	8.03E+59
JPEG-CODEC	5.28E+269	2.41E+24	1.46E+48	3.40E+38	8.03E+59

Table 17: Design cost of proposed approach pre and post implanting generated signature

Benchmarks	IP vendor selected resource configuration for scheduling	Initial design ( <i>i.e.</i> , pre signature implanted unsecured design)				Final secured signature implanted design				Design cost overhead %
		Area (um <sup>2</sup> )	Latency (ps)	Register count	Design cost	Area (um <sup>2</sup> )	Latency (ps)	Design cost	Register count	
8-point DCT	1(+), 2(*)	182.45	1324.86	16	0.446	182.45	1324.86	0.446	16	0
FIR	1(+), 2(*)	106.95	2583.46	16	0.569	109.31	2583.46	0.57	19	0.17
ARF	1(+), 2(*)	182.45	2450.98	16	0.412	187.95	2450.98	0.415	23	0.72
DWT	2(+), 3(*)	272.10	1722.31	10	0.656	275.25	1722.31	0.657	14	0.15
JPEG-CODEC	6(+), 8(*)	824.96	3245.89	137	0.157	824.96	3245.89	0.157	137	0

## Detection of embedded watermark for resolution of false IP ownership claim and IP piracy detection

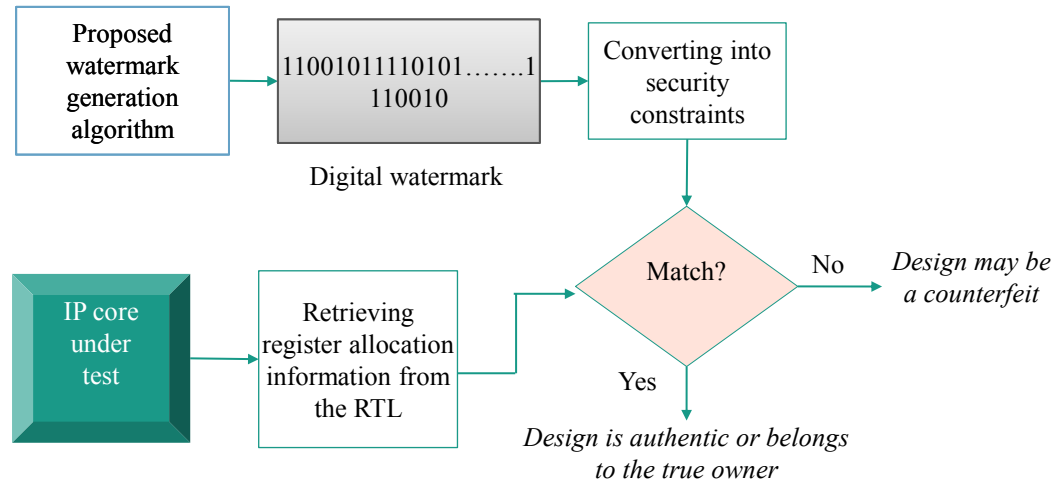


Figure 13: Figure illustrating the signature/watermark detection for IP authentication/verification

# Exploration of Optimal Functional Trojan-Resistant Hardware Intellectual Property (IP) Core Designs during High Level Synthesis

- The proposed approach presents an exploration of optimized Trojan resistant (capable of detection and isolation both) hardware design architecture using the design space exploration framework of the HLS process [13].
- Presents a particle swarm optimization-driven design space exploration (PSO-DSE) to determine an optimal hardware IP core datapath after performing the design area-delay tradeoff.
- The proposed approach proposes a Trojan-resistant design flow for the reusable hardware IP core using TMR-based distinct multivendor allocation policy.
- This is the first work in the literature to present a complete Trojan resistant framework (from functional Trojans) for generation of low-cost hardware IP design, where Trojan unit computations are isolated in the final output value.

# Motivation



- Hardware Trojan can be inserted by a rogue element or an adversary at any stage of the chip design cycle.
- The proposed approach addresses the threat model of functional hardware Trojans inserted covertly in third-party IP cores of hardware systems used in application-specific computing devices.
- Functional hardware Trojans have been investigated in the literature [20], [21], which shows that such Trojan logic could cause erroneous functional output, causing safety and reliability hazards to the end consumer.
- Such Trojans can create unreliable behavior if covertly inserted in real-time hardware systems of custom computing devices.
- Therefore, it is essential to detect and isolate these functional Trojan, such that the final computation of the hardware system should not be disrupted.
- Some real world critical applications where these Trojans can cause safety hazard: (a) the presence of such Trojans in machine learning/ deep learning (CNN convolutional) coprocessor systems may alter the prediction of the model, (b) In image compression applications, such as JPEG-codec, a backdoor functional Trojan may induce incorrect computation of output pixel value, resulting in unwanted loss of important imaging/video data, etc.

## Detailed flow diagram of the proposed approach

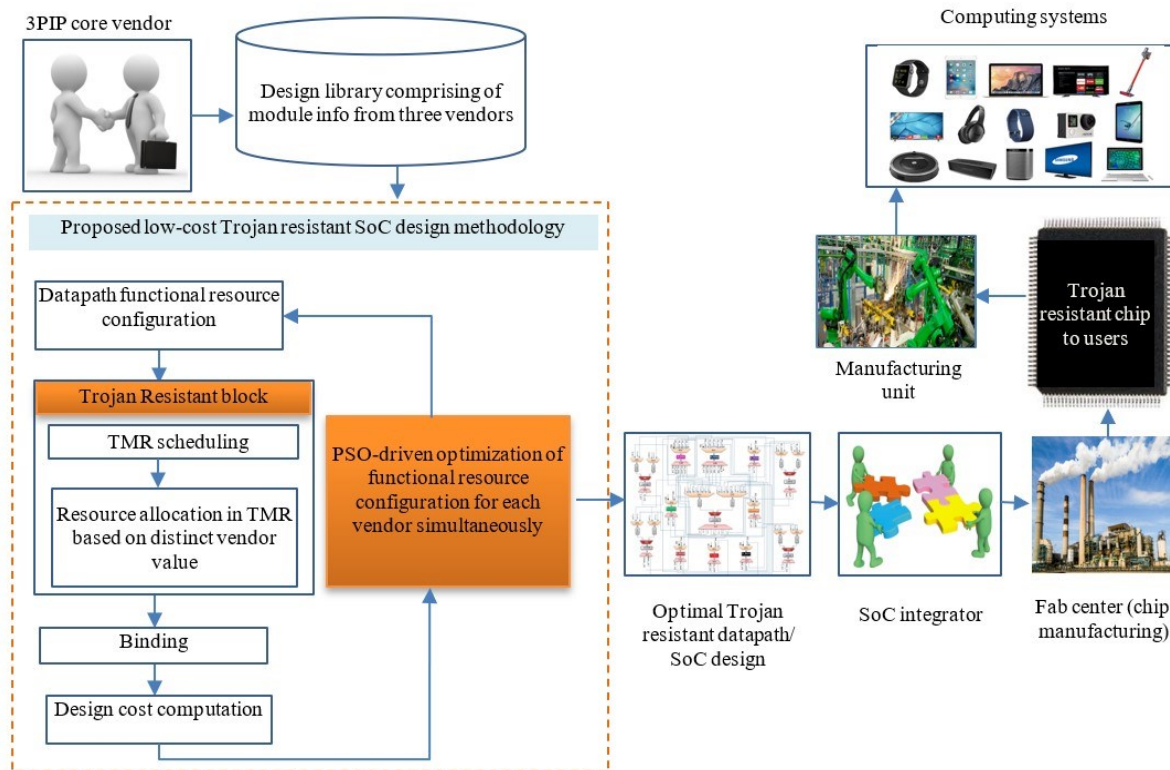


Figure 14: Figure illustrating the Overview of proposed optimal Trojan defense IP core/SoC design generation process for DSP applications

## Details of the TMR (Triple Modular Redundant unit)

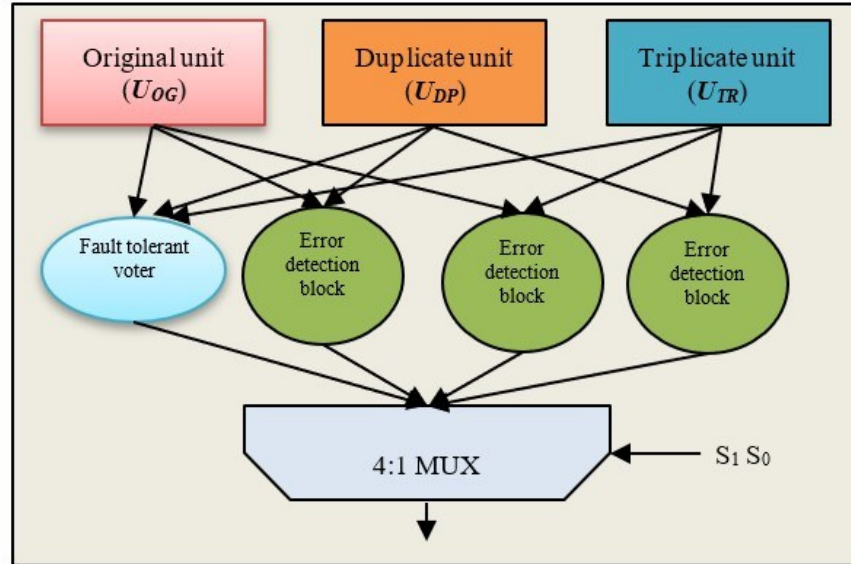


Figure 15: Illustration of the trojan resistance capability of the proposed approach with the help of voter and 4:1 multiplexer

### Assumptions in the proposed approach:

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1. The voter in the proposed approach is fault tolerant (adopted from [22]), which means it produces functionally correct output always.
2. We have considered an error detection block (EDB), which is a multi-stage setup (adopted from [22]) designed to protect the Trojan-resistant design from faulty comparators. The fault-tolerant voter and error detection block used in the proposed approach is considered to be Trojan-free (trustworthy). This is because these hardware modules are considered to be designed in-house (by a system integrator). In the proposed approach, the system integrator is considered to be trustworthy.
3. The information corresponding to multiple vendors is confidential and only known to the system integrator. The vendors are completely unaware of the information about their counterparts. As vendors in the proposed approach are unaware of their counterparts. Therefore, the chances of collusion between distinct unknown 3PIP vendors to achieve the same Trojan payload are very low. Henceforth, the proposed approach always, at minimum always, ensures Trojan detection [19].

# Generation of low-cost Trojan resistant SDFG for FIR filter

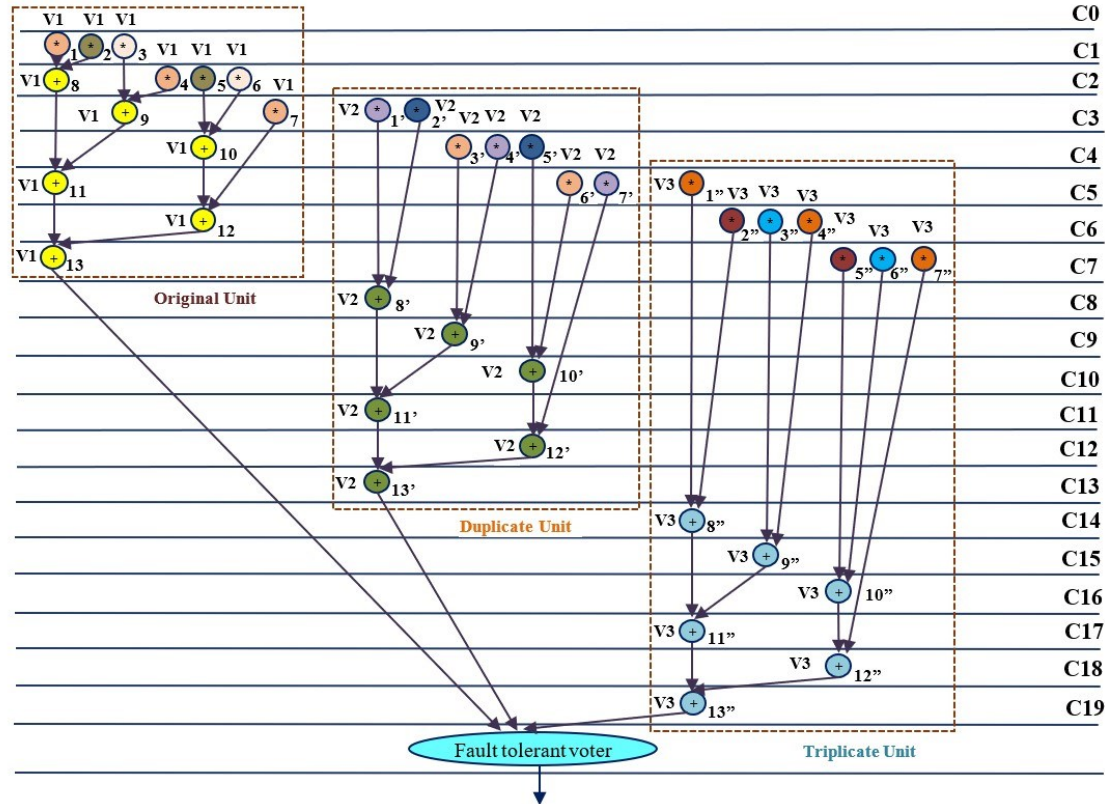


Figure 16: Scheduled data flow graph of FIR filter (TMR) with 9(\*), 3(+).

## Result



Table 18: Area, cost, and time of proposed TMR based design

S. No	DSP IP	Global optima	$T_{TMR}$ ( $\mu$ s)	$A_{TMR}$ (au)	Design cost
1.	4-pointDCT	3(+), 9(*)	45.635	25808	-0.120
2.	FIR	3(+), 9(*)	79.77	28272	-0.165
3.	ARF	3(+), 6(*)	264.1	20880	-0.173
4.	JPEG	3(+), 3(*)	88.76	13488	-0.059
5.	DWT	6(+), 9(*)	112.37	31904	-0.091

## Results

Table 19: Comparison of the proposed approach with [19]

S. No.	Benchmark	Final architecture solution for proposed approach	Final architecture solution [19]	Cost of the final solution for proposed approach	Cost of the final solution [19]	% Change (overhead)
1.	4-point DCT	3(+), 9(*)	2(+), 6(*)	-0.120	-0.121	0.82
2.	FIR	3(+), 9(*)	2(+), 6(*)	-0.165	-0.176	6.25
3.	ARF	3(+), 6(*)	2(+), 4(*)	-0.173	-0.187	7.48
4.	JPEG Sample	3(+), 3(*)	2(+), 2(*)	-0.059	-0.062	4.8
5.	DWT	6(+), 9(*)	4(+), 6(*)	-0.091	-0.095	4.09

Table 20: Comparison of the proposed approach with [23]

S. No.	Benchmark	Final architecture solution for proposed approach	Final architecture solution [23]	Cost of the final solution for proposed approach	Cost of the final solution [23]	% Change (overhead)
1.	4-point DCT	3(+), 9(*)	2(+), 6(*)	-0.120	-0.121	0.82
2.	FIR	3(+), 9(*)	8(+), 8(*)	-0.165	-0.152	0
3.	ARF	3(+), 6(*)	2(+), 4(*)	-0.173	-0.187	7.48
4.	JPEG Sample	3(+), 3(*)	8(+), 4(*)	-0.059	-0.055	0
5.	DWT	6(+), 9(*)	4(+), 6(*)	-0.091	-0.095	4.09

## Results:

Table 21: Comparison of convergence time (msec) for generating trojan resistant hardware designs *w.r.t* swarm size ' $n$ '

S. No.	Benchmark	$n=3$	$n=5$	$n=7$
1.	4-point DCT	16	24	27
2.	FIR	196	200	200
3.	ARF	32	57	96
4.	JPEG	44	48	93
5.	DWT	65	68	68

Table 22: Comparison of exploration time (msec) for generating trojan resistant hardware designs *w.r.t* swarm size ' $n$ '

S. No.	Benchmark	$n=3$	$n=5$	$n=7$
1.	4-point DCT	96	130	190
2.	FIR	674	867	973
3.	ARF	231	416	868
4.	JPEG	299	485	1048
5.	DWT	267	281	353

## Publication list (Journals):

---

### *Journal publications (17 publications):*

1. A. Sengupta, R. Chaurasia and A. Anshul, "Robust Security of Hardware Accelerators Using Protein Molecular Biometric Signature and Facial Biometric Encryption Key," **IEEE Transactions on Very Large Scale Integration (VLSI) Systems**, vol. 31, no. 6, pp. 826-839, June 2023, *Impact Factor: 2.8*.
2. M. Rathor, A. Sengupta, R. Chaurasia and A. Anshul, "Exploring Handwritten Signature Image Features for Hardware Security," **IEEE Transactions on Dependable and Secure Computing**, vol. 20, no. 5, pp. 3687-3698, 1 Sept.-Oct. 2023, *Impact Factor: 7.0*.
3. A. Sengupta, A. Anshul, Secure hardware IP of GLRT cascade using color interval graph based embedded fingerprint for ECG detector. **Nature Scientific Reports**, 14, 13250 (2024), *Impact factor: 3.8*.
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7. R. Chaurasia, A. Anshul, A. Sengupta and S. Gupta, "Palmprint Biometric Versus Encrypted Hash Based Digital Signature for Securing DSP Cores Used in CE Systems," **IEEE Consumer Electronics**, vol. 11, no. 5, pp. 73-80, 1 Sept. 2022, *Impact Factor: 3.7*.
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9. A. Anshul, A. Sengupta, PSO based exploration of multi-phase encryption based secured image processing filter hardware IP core datapath during high level synthesis, **Elsevier Expert Systems with Applications**, Volume 223, 2023, 119927, ISSN 0957-4174, *Impact Factor: 7.5*.
10. A. Anshul, A. Sengupta "Exploration of Optimal Crypto-Chain Signature Embedded Secure JPEG-CODEC Hardware IP during High Level Synthesis", **Elsevier Journal on Microprocessors and Microsystems**, Volume 102, October 2023, 104916, *Impact factor 1.9*.
11. A. Sengupta, A. Anshul, R. Chaurasia "Exploration of Optimal Functional Trojan-Resistant Hardware Intellectual Property (IP) Core Designs during High Level Synthesis", **Elsevier Journal on Microprocessors and Microsystems**, Volume 103, November 2023, 104973, *Impact Factor: 1.9*.
12. M. Rathor, A. Anshul, K. Bharath, R. Chaurasia, A. Sengupta, Quadruple phase watermarking during high level synthesis for securing reusable hardware intellectual property cores, **Elsevier Computers and Electrical Engineering**, Volume 105, 2023, 108476, ISSN 0045-7906, *Impact factor: 4.0*.
13. A. Sengupta and A. Anshul, "A Survey of High Level Synthesis based Hardware (IP) Watermarking Approaches," **IEEE Design & Test**, Accepted, 2024, doi: 10.1109/MDAT.2024.3435056, *Impact factor: 1.9*.
14. A. Sengupta, N. Bhui, A. Anshul, V. Chourasia "Bio-mimicking DNA Fingerprint Profiling for HLS Watermarking to Counter Hardware IP Piracy", **Nature Scientific Reports**, 14, Article number: 22413, 2024, DOI: <https://doi.org/10.1038/s41598-024-73119-y>, *Impact factor: 3.8*.

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### *Journal publications (Contd.):*

15. A. Sengupta, A. Anshul, V. Chourasia and N. Bhui, "Security Vulnerability (Backdoor Trojan) During Machine Learning Accelerator Design Phases," **IT Professional**, vol. 27, no. 1, pp. 65-72, Jan.-Feb. 2025, doi: 10.1109/MITP.2024.3519632, *Impact Factor: 2.2*.
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### *Conference publications (10 publications):*

1. A. Sengupta, R. Chaurasia and A. Anshul, "Hardware Security of Digital Image Filter IP Cores against Piracy using IP Seller's Fingerprint Encrypted Amino Acid Biometric Sample," **Proceedings of 8th IEEE Asian Hardware Oriented Security and Trust Symposium (AsianHOST)**, Tianjin, China, 2023, pp. 1-6.
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4. A. Anshul and A. Sengupta, "Low-Cost Hardware Security of Laplace Edge Detection and Embossment Filter Using HLS Based Encryption and PSO," **Proceedings of 9th IEEE International Symposium on Smart Electronic Systems (iSES)**, Ahmedabad, India, 2023, pp. 135-140.
5. A. Sengupta and A. Anshul, "Key-Driven Multi-Layered Structural Obfuscation of IP cores using Reconfigurable Obfuscator based Network Challenge and Switch Control Logic," **Proceedings of 9th IEEE International Symposium on Smart Electronic Systems (iSES)**, Ahmedabad, India, 2023, pp. 141-146.
6. A. Anshul, K. Bharath and A. Sengupta, "Designing Low Cost Secured DSP Core using Steganography and PSO for CE systems," **Proceedings of 8th IEEE International Symposium on Smart Electronic Systems (iSES)**, Warangal, India, 2022, pp. 95-100.

## Publication list (Conferences):

### *Conference publications (Contd.):*

7. A. Anshul and A. Sengupta, "IP Core Protection of Image Processing Filters with Multi-Level Encryption and Covert Steganographic Security Constraints," **Proceedings of 8th IEEE International Symposium on Smart Electronic Systems (iSES)**, Warangal, India, 2022, pp. 83-88.
8. A. Sengupta, V. Chourasia, A. Anshul "HLS Scheduling Driven Encoded Watermarking for Secure Convolutional Layer IP Design in CNN", **Proceedings of 11th IEEE International Conference on Consumer Electronics (ICCE-TW)**, Taichung, Taiwan, 2024, pp. 587-588, doi: 10.1109/ICCE-Taiwan62264.2024.10674266.
9. A. Sengupta, V. Chourasia, A. Anshul, N. Kumar "Robust Watermarking of Loop Unrolled Convolution Layer IP Design for CNN using 4-variable Encoded Register Allocation", **Proceedings of 11th IEEE International Conference on Consumer Electronics (ICCE-TW)**, Taichung, Taiwan, 2024, pp. 589-590, doi: 10.1109/ICCE-Taiwan62264.2024.10674385.
10. A. Sengupta, A. Anshul and V. Chourasia, "HLS Based Hardware Watermarking Using IP Seller's Superimposed Facial Anthropometric Features," **2024 IEEE International Symposium on Smart Electronic Systems (iSES)**, New Delhi, India, 2024, pp. 110-115, doi: 10.1109/iSES63344.2024.00032.

## Publication list (Book chapters):

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### Book Chapters (10 publications):

1. A. Sengupta, A. Anshul "Palmprint Biometrics Vs. Fingerprint Biometrics Vs. Digital Signature using Encrypted Hash: Qualitative and Quantitative Comparison for Security of DSP coprocessors", **IET Book "Physical Biometrics for Hardware Security of DSP and Machine Learning Coprocessors"**, 2023, Chapter DOI: [10.1049/PBCS080E\\_ch6](https://doi.org/10.1049/PBCS080E_ch6).
2. A. Sengupta, A. Anshul "Secured Design Flow using Palmprint Biometrics, Steganography and PSO for DSP coprocessors", **IET Book "Physical Biometrics for Hardware Security of DSP and Machine Learning Coprocessors"**, 2023, Chapter DOI: [10.1049/PBCS080E\\_ch7](https://doi.org/10.1049/PBCS080E_ch7).
3. A. Sengupta, A. Anshul "Taxonomy of Hardware Security Methodologies: IP Core Protection and Obfuscation", **IET Book "Physical Biometrics for Hardware Security of DSP and Machine Learning Coprocessors"**, 2023, Chapter DOI: [10.1049/PBCS080E\\_ch9](https://doi.org/10.1049/PBCS080E_ch9).
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**Thank You!**